

Preliminary Soils Survey

14.384 Acre Kloppe Tract

7975 Interstate 10 E

San Antonio, Texas

InTEC Project No. S201092 April 22, 2020

San Antonio LD, LLC 4058 N. College, Suite 300, Box 9 Fayetteville, Arkansas 72703



Integrated Testing and Engineering Company of San Antonio, L.P. Geotechnical & Environmental Engineering • Construction Services • Geologic Assessment

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April 22, 2020

San Antonio LD, LLC 4058 N. College, Suite 300, Box 9 Fayetteville, Arkansas 72703

Attention:

Mr. David C. Frye

Re:

Preliminary Soils Survey 14.384 Acre Kloppe Tract

7975 Interstate 10 E San Antonio, Texas

InTEC Project No. S201092

Ladies & Gentlemen:

Integrated Testing and Engineering Company of San Antonio (InTEC) has completed a preliminary soil and foundation engineering report at the above referenced project site. The results of the exploration are presented in this report.

We appreciate and wish to thank you for the opportunity to be of service to you on this project. If we can be of additional assistance during the materials testing-quality control phase of construction, please call us.

Respectfully Submitted, InTEC of San Antonio, L.P.

Murali Subramaniam, Ph. D., P.E.

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CHIEF ENGINEER

Copies Submitted: Above (1) InTEC of San Antonio

F-7623



EXECUTIVE SUMMARY

The soil conditions at the location of the 14.384 Acre Kloppe Tract at 7975 Interstate 10 E in San Antonio, Texas were obtained from a limited number of six borings drilled or hand augered to depths of 2 to 15 feet. Laboratory tests were performed on selected specimens to evaluate the engineering characteristics of various soil strata encountered in the borings.

The results of our exploration, laboratory testing and engineering evaluation indicate the underlying clays at this site are **plastic to highly plastic in character**. Potential vertical movements on the order of **3 to 4** ½ **inches** were estimated at the existing grade elevation of the borings.

The proposed residences in this development may be supported by stiffened grid type beam and slab foundations or post-tensioned beam and slab foundations. Grade beams of the stiffened grid type beam and slab foundation on or post tensioned beam and slab foundations supported at a minimum depth of 24 inches below finish grade elevation may be sized for an allowable bearing capacity value on the order of 1,500 lbs per sq ft.

Ground water was not encountered in the borings at the time of drilling.

Detailed descriptions of subsurface conditions, engineering analysis, and preliminary design recommendations are included in this report.

Note: Boring Locations B-3, B-5 and B-6 have very dense vegetation and trees; were not accessible for the truck mounted drilling rig. Hand Auger was used at these locations.



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INTRODUCTION

<u>General</u>

This report presents the results of our Preliminary Soil Survey for the 14.384 Acre Kloppe Tract at 7975 Interstate 10 E in San Antonio, Texas. This project was authorized by Mr. David C. Frye.

Purpose and Scope of Services

The purpose of our preliminary geotechnical investigation was to evaluate the site's subsurface and ground water conditions and provide **preliminary geotechnical engineering recommendations** for the planning and development phase of the project. Our scope of services includes the following:

- 1) drilling or hand augering and sampling of six borings to depths of 2 to 15 feet;
- 2) evaluation of the in-place conditions of the subsurface soils through field penetration tests;
- 3) observation of the ground water conditions during drilling operations;
- 4) performing laboratory tests such as Atterberg limits and Moisture content tests;
- review and evaluation of the field and laboratory test programs during their execution with modifications of these programs, when necessary, to adjust to subsurface conditions revealed by them;
- 6) compilation, generalization and analysis of the field and laboratory data in relation to the project requirements;
- 7) estimation of potential vertical movements;
- 8) preparation of preliminary recommendations for the planning and development phase of the project;
- 9) preparation of a written preliminary geotechnical engineering report for use by the members of the Evaluation team in their preparation of planning and development documents.

The Scope of Services did not include pavement study, slope stability, or any environmental assessment for the presence or absence of wetlands or hazardous or toxic materials in the soil, surface water, groundwater, or air, on or below or around this site. Any statements in this report or on the boring logs regarding odors, colors or unusual or suspicious items or conditions are strictly for the information of the client.



Project Description

The proposed project involves the development for the 14.384 Acre Kloppe Tract at 7975 Interstate 10 E in San Antonio, Texas. The scope of this project includes preparation of preliminary geotechnical engineering recommendations for the single family residential structures at the above referenced project site. Proposed finish grade elevations or cut and fill information or building locations were not available for our review at the time of our investigation.

The proposed project site is located north of IH-10 and west of FM 1516 in San Antonio, Texas. A review of the aerial maps indicates that the site is densely wooded on the east side and appears to be clear on the west side. The aerial maps also indicate at least one structure and cleared or graded areas on the west and front of the tract. Additional investigations such as excavating test pits on the front of the tract will help get a better scope of the material in this area. A review of the topographic map indicates a low area runs thru the middle of the tract and the rest of the tract generally drains towards the low area. Soil and geologic maps indicate that the site is underlain by highly expansive clays.



SUBSURFACE EXPLORATION

Scope

The field exploration to determine the engineering characteristics of the subsurface materials included a reconnaissance of the project site, drilling the borings, performing standard penetration tests, and obtaining Split Barrel samples.

Six soil test borings were drilled or hand augered at the approximate locations shown on the Boring Location Plan, Plate 1, included in the Illustration section of this report. These borings were **drilled or hand augered to depths of 2 to 15 feet below the presently existing ground surface**. Boring locations were selected by the project geotechnical engineer and established in the field by the drilling crew using normal taping procedures.

Note: Boring Locations B-3, B-5 and B-6 have very dense vegetation and trees; So not accessible for the truck mounted drilling rig. Hand Auger was used at these locations.

Drilling and Sampling

The soil borings at location B-1, B-2 and B-4 were performed with a drilling rig equipped with a rotary head. Conventional solid stem augers were used to advance the holes and samples of the subsurface materials were obtained **using a Split Barrel sampler.** The samples were identified according to boring number and depth, encased in polyethylene plastic wrapping to protect against moisture loss, and transported to our laboratory in special containers.

In summary, the samples as presented in Table No. 1 in were collected as a part of our field exploration procedure:

Table No. 1

Type of Sample	Number Collected
Split Barrel Samples	9
Auger Samples	6
Hand Auger Samples	3



Field Tests and Water Level Measurements

<u>Penetration Tests</u> – During the sampling procedures, **standard penetration tests were performed** in the borings in conjunction with the split-barrel sampling. The standard penetration value (N) is defined as the number of blows of a 140 pound hammer, falling thirty inches, required to advance the split-spoon sampler one foot into the soil. The sampler is lowered to the bottom of the drill hole and the number of blows recorded for each of the three successive increments of six inches penetration. The "N" value is obtained by adding the second and third incremental numbers. The results of the standard penetration test indicate the relative density and comparative consistency of the soils, and thereby provide a basis for estimating the relative strength and compressibility of the soil profile components.

<u>Water Level Measurements</u> – Ground water was not encountered in the borings at the time of drilling. In relatively pervious soils, such as sandy soils, the indicated elevations are considered reliable ground water levels. In relatively impervious soils, the accurate determination of the ground water elevation may not be possible even after several days of observation. Seasonal variations, temperature and recent rainfall conditions may influence the levels of the ground water table and volumes of water will depend on the permeability of the soils.

Field Logs

A field log was prepared for each boring. Each log contained information concerning the boring method, samples attempted and recovered, indications of the presence of various materials such as silt, clay, gravel or sand and observations of ground water. It also contained an interpretation of subsurface conditions between samples. Therefore, these logs included both factual and interpretive information.

Presentation of the Data

The final logs represent our interpretation of the contents of the field logs for the purpose delineated by our client. The final logs are included on Plates 2 thru 7 included in the Illustration section. A key to classification terms and symbols used on the logs is presented on Plate 8.



LABORATORY TESTING PROGRAM

Purpose

In addition to the field exploration, a supplemental laboratory testing program was conducted to determine additional **pertinent engineering characteristics** of the subsurface materials necessary in evaluating the soil parameters.

Laboratory Tests

All phases of the laboratory testing program were performed in general accordance with the indicated applicable ASTM Specifications as indicated in Table No. 2.

Table No. 2

Laboratory Test	Applicable Test Standard
Liquid Limit, Plastic Limit and Plasticity Index of the Soils	ASTM D 4318
Moisture Content	ASTM D 2216

In the laboratory, each sample was observed and classified by a geotechnical engineer. As a part of this classification procedure, the natural water contents of selected specimens were determined. Liquid and plastic limit tests were performed on representative specimens to determine the plasticity characteristics of the different soil strata encountered.

Presentation of the Data

In summary, the tests as presented in Table No. 3 were conducted in the laboratory to evaluate the engineering characteristics of the subsurface materials:

Table No. 3

Type of Test	Number Conducted
Natural Moisture Content	18
Atterberg Limits	7



The results of all these tests are presented on appropriate boring logs. These laboratory test results were used to classify the soils encountered generally according to the Unified Soil Classification System (ASTM D 2487).



GENERAL SUBSURFACE CONDITIONS

Soil Stratigraphy

The soils underlying the site may be grouped into **one to two generalized strata** with similar physical and engineering properties. The lines designating the interface between soil strata on the logs represent approximate boundaries. Transition between materials may be gradual. The soil stratigraphy information at the boring locations are presented in **Boring Logs**, **Plates 2 thru 7**.

The engineering characteristics of the underlying soils, based on selected samples that were tested, are summarized and presented in the following paragraph.

Brown clays, dark brown clays, and tan clays underlie the project site. These clays are highly plastic with tested liquid limits ranging from 59 to 89 and plasticity index values varying from 38 to 67. The results of standard penetration tests performed within these clays varied from 16 to 28 blows per foot.

The above description presented is of a generalized nature to highlight the major soil stratification features and soil characteristics. Please refer to Boring Logs for soil stratigraphy information at a particular boring location.

Ground Water Observations

Ground water was not encountered in the borings at the time of drilling. Short term field observations generally do not provide accurate ground water levels. The contractor should check the subsurface water conditions prior to any excavation activities. The low permeability of the soils would require several days or longer for ground water to enter and stabilize in the bore holes. Ground water levels will fluctuate with seasonal climatic variations and changes in the land use.

It is not unusual to encounter shallow ground water during or after periods of rainfall. The surface water tends to percolate down through the surface soils until it encounters a relatively impervious layer.



FOUNDATIONS ON EXPANSIVE SOIL

General

There are many plastic clays that swell considerably when water is added to them and then shrink with the loss of water. Foundations constructed on these clays (if thicker stratum I clays are encountered or if clayey fills used) are subjected to large uplifting forces caused by the swelling.

In the characterization of a building site, two major factors that contribute to potential shrink-swell problems must be considered. Problems can arise if a) the soil has expansive or shrinkage properties and b) the environmental conditions that cause moisture changes to occur in the soil.

Evaluation of the Shrink-Swell Potential of the Soils

Subsurface sampling, laboratory testing and data analysis is used in the evaluation of the shrink-swell potential of the soils under the foundations.

The Mechanism of Swelling

The mechanism of swelling in expansive clays is complex and is influenced by a **number of factors**. Basically, expansion is a result of changes in the soil-water system that disturbs the internal stress equilibrium. Clay particles in **general have negative electrical charges** on their surfaces and positively charged ends. The negative charges are balanced by actions in the soil water and give rise to an electrical interparticle force field. In addition, adsorptive forces exist between the clay crystals and water molecules, and Van Der Waals surface forces exist between particles. Thus, there exists an internal electro-chemical force system **that must be in equilibrium with the externally applied stresses and capillary tension in the soil water**. If the soil water chemistry is changed either by changing the amount of water or the chemical composition, the interparticle force field will change. If the change in internal forces is not balanced by a corresponding change in the state of stress, **the particle spacing will change** so as to adjust the interparticle forces until equilibrium is reached. **This change in particle spacing manifests itself as a shrinkage or swelling.**

Antecedent Rainfall Ratio

This is a measure of the local climate and is defined as the total monthly rainfall for the month of and the month prior to laying the slab divided by twice the average monthly rate measured for the period. The intent of this ratio is to give a relative measure of ground moisture conditions at the

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time the slab is placed. Thus, if a slab is placed at the end of a wet period, the slab should be expected to experience some loss of support around the perimeter as the wet soil begins to dry out and shrink. The opposite effect could be anticipated if the slab is placed at the end of an extended dry

period; as the wet season occurs, uplift around the perimeter may occur as the soil at the edge of the

slab gains in moisture content.

Age of Slab

The length of time since the slab was cast provides an indication of the type of swelling of the

soil profile that can be expected to be found beneath the slab.

Initial Moisture Condition and Moisture Variation

Volume change in an expansive soil mass is the result of increases or decreases in water content.

The initial moisture content influences the swell and shrink potential relative to possible limits, or

ranges, in moisture content. Moisture content alone is useless as an indicator or predictor of shrink-

swell potential. The relationship of moisture content to limiting moisture contents such as the

plastic limit and liquid limit must be known.

If the moisture content is below or near plastic limit, the soils have high potential to swell. It has been

reported that expansive soils with liquidity index* in the range of 0.20 to 0.40 will tend to

experience little additional swell.

The availability of water to an expansive soil profile is influenced by many environmental and man-

made factors. Generally, the upper few feet of the profile are subjected to the widest ranges of

moisture variation, and is least restrained against movement by overburden. This upper stratum of

the profile is referred to as the <u>active zone.</u> Moisture variation in the active zone of a natural soil

profile is affected by climatic cycles at the surface, and fluctuating groundwater levels at the lower

moisture boundary. The surficial boundary moisture conditions are changed significantly simply by

placing a barrier such as a building floor slab or pavement between the soil and atmospheric environment. Other obvious and direct causes of moisture variation result from altered

drainage conditions or man-made sources of water, such as irrigation or leaky plumbing. The

latter factors are difficult to quantify and incorporate into the analysis, but should be controlled to the

extent possible for each situation. For example, proper drainage and attention to landscaping are

LIQUIDITY INDEX =

NATURAL WATER CONTENT - PLASTIC LIMIT



taken simple means of minimizing moisture fluctuations near structures, and should always be into consideration.

Man Made Conditions That Can Be Altered

There are a number of factors that can influence whether a soil might shrink or swell and the magnitude of this movement. For the most part, either the owner or the designer has some control over whether the factor will be avoided altogether or if not avoided, the degree to which the factor will be allowed to influence the shrink-swell process.

Lot Drainage This provides a measure of the slope of the ground surface with respect to available free surface water that may accumulate around the slab. Most builders are aware of the importance of sloping the final grade of the soil away from the structure so that rain water is not allowed to collect and pond against or adjacent to the foundations. If water were allowed to accumulate next to the foundation, it would provide an available source of free water to the expansive soil underlying the foundation. Similarly, surface water drainage patterns or swales must not be altered so that runoff is allowed to collect next to the foundation.

<u>Topography</u> This provides a measure of the downhill movement that is associated with light foundations built on slopes in expansive soil areas. The designer should be aware that as the soil swells, it heaves perpendicularly to the ground surface or slope, but when it shrinks, it recedes in the direction of gravity and gradually moves downslope in a sawtooth fashion over a number of shrink-swell cycles. In addition to the shrink-swell influence, the soil will exhibit viscoelastic properties and creep downhill under the steady influence of the weight of the soil. Therefore, if the building constructed on this slope is not to move downhill with the soil, it must be designed to compensate for this lateral soil influence.

<u>Pre-Construction Vegetation</u> Large amount of vegetation existing on a site before construction may have desiccated the site to some degree, especially where large trees grew before clearing. Constructing over a desiccated soil can produce some dramatic instances of heave and associated structural distress and damage as it wets up.

<u>Post-Construction Vegetation</u> The type, amount, and location of vegetation that has been allowed to grow since construction can cause localized desiccation. **Planting trees or large shrubs near a building can result in loss of foundation support as the tree or shrub**



removes water from the soil and dries it out. Conversely, the opposite effect can occur if flowerbeds or shrubs are planted next to the foundation and these beds are kept well-watered or flooded. This practice can result in swelling of the soil around the perimeter where the soil is kept wet.

Summation

It is beyond the scope of this investigation to do more than point out that the above factors have a definite influence on the amount and type of swell to which a slab-on-ground is subjected during its useful life. The design engineer must be aware of these factors as he develops his design and make adjustments as necessary according to the results of special measurements or from his engineering experience and judgment.



DESIGN ENGINEERING ANALYSIS

Foundation Design Considerations

Review of the data from limited number of borings and test data indicates that the factors presented will affect the foundation design and construction at this site.

- 1) The underlying clays are plastic to highly plastic and have a high swell potential. Structures supported at shallow depths will be subjected to potential vertical movements on the order of **3 to 4** ½ **inches** at the existing grade elevation of the borings.
- 2) If the finish grade elevation is higher than the existing grade level, compacted crushed limestone should be used to raise the grade.
- 3) The select fill should be placed in lifts and compacted as recommended under "'Select Fill" in the "Construction Guidelines" section in this report.
- 4) The strengths of the underlying soils are adequate to support shallow foundations.
- 5) Ground water was not encountered in the borings at the time of drilling.

Vertical Movements

The potential vertical rise (PVR) for slab-on grade construction at the boring locations had been estimated using Texas Department of Transportation Test Method TXDOT-124-E. This method utilizes the liquid limits, plasticity indices, and in-situ moisture contents for soils in the seasonally active zone, estimated to be about fifteen feet in the Project area.

The estimated PVR value is based on the proposed floor system applying a sustained surcharge load of approximately 1.0 lb. per square inch on the subgrade materials. Potential vertical movement on the order of 3 to 4 ½ inches was estimated at the existing grade elevations at the boring locations. These PVR values will be realized if the subsoils are subjected to moisture changes from the existing soil moisture or average soil moisture conditions, whichever is lower, to fully saturated conditions.



If cut and fill operations in excess of 6 inches are performed, the PVR values could change significantly. Higher PVR values than the above-mentioned values will occur in areas where water is allowed to pond for extended periods.

The potential vertical movement estimated and stated based on provision and maintenance of positive drainage to divert water away from the structures and the pavement areas. If the drainage is not maintained, ponding on water will occur, the wetted front may move below the assumed fifteen feet depth; the resulting potential vertical movement will be much greater than 2 to 3 times the stated value of 3 to 4 ½ inches. Utility line leaks may contribute water and cause similar movements to occur.

The PVR value of **3 to 4** ½ **inches** is based on the current site grades. If cut and fill operations in excess of 6 inches are performed, the PVR values could change significantly.

If the proposed finish grade elevation is higher than the existing grade level, <u>compacted crushed</u> <u>limestone select fill should be used</u> to raise the existing grade level. The select fill should be placed and compacted as recommended under "Select Fill" in the "Construction Guidelines" section in this report.

If the underlying soils are removed to a depth of 3 or 5 or 7 feet and replaced with compacted select fill, potential vertical movement on the order of 3 or 2 or 1 inch, respectively, is anticipated.

Coping with problems of shrink/swell due to expansive clays is a "fact of life" in the Texas region of south western U.S.A. Support of the building on deep underreamed footings with a structurally suspended floor slab (12 inch void) will provide a foundation system with the least risk for distress due to shrink/swell of the clays. It should be noted that expansive clay does not shrink/swell without changes in moisture content, and thus good site design is very important to minimize foundation movements.

It is our experience that support of the walls and columns on stiffened grid type beam and slab foundation or post tensioned beam and slab foundation will provide reasonable performance of the foundation if the clay subsoils are wet at the time of earthwork construction. However, some shrink/swell will probably occur causing some cracks in the floor slab and interior walls due to the foundation system because the subsoil conditions between borings are unknown, the moisture content of the clays and groundwater conditions at the time of construction are unknown, and construction practices can adversely affect the supporting properties of the subsoils.



Flatwork

Ground supported flatwork adjacent to the buildings will be subjected to the movements due to shrink / swell of the underlying soils. Differential movement between the flatwork and the building may result in a trip hazard. Reducing the potential vertical movements as described in the Vertical Movements section will reduce the different movement described above.



PRELIMINARY RECOMMENDATIONS

<u>General</u>

The following preliminary recommendations are based on the data obtained from our field and laboratory tests, our past experience with geotechnical conditions similar to those at this site, and our engineering design analysis.

Surface drainage is very important around the residences and in the pavement areas. The surface water should be drained as fast as possible around the residences and roadways. If enough slopes are not available for a good surface drainage, gratings and pipes may be used to carry the water and drain the area fast. In some areas, a) where surface water gets into the subsurface and b) water travels laterally within the underlying gravel layers should be collected by French Drains and disposed of in the drain areas.

Foundation Selection

The type and depth of foundation suitable for a given structure primarily depends on several factors: the subsurface conditions, climatic conditions, site topography, site drainage, the function of the structure, the loads it may carry and the cost of the foundation. Additional considerations may include acceptable performance criteria set by the owner, architect, or structural designer with respect to vertical and differential movements, which the structure can withstand without damage.

Based on the above-mentioned conditions, stiffened grid type beam and slab foundations and post-tensioned beam and slab foundations may be considered to support the proposed residential structures at this site.

<u>Stiffened grid type beam and slab foundation or Post-tensioned slab-on-grade foundation</u> may be used to support the structures provided all of the items (a) thru (d) are followed:

- (a) the anticipated potential vertical movements presented in the "Vertical Movements" section are acceptable to the owner,
- (b) the owner (after discussing the resulting corresponding potential movements and their effect on the performance of the structures with the project structural engineer and the project architect) determines that the anticipated movements will not adversely affect the performance of the proposed structures,



- (c) all the recommendations presented in the "Construction Guidelines" section are followed, and
- (d) if any fill is placed within the building pad area, InTEC should be called to evaluate the effect of fill on the foundation recommendations.

Stiffened Grid Type Beam and Slab Foundations

It is desirable to design the foundations system utilizing the simplifying assumption that the loads are carried by the beams. The grade beams should be founded at a depth of 24 inches below finished subgrade elevation on or within undisturbed existing soils or compacted select fill. An allowable bearing capacity value on the order of 1,500 lbs per sq ft is recommended. Design Plasticity Index values at the boring locations are presented in Table No. 4. Preliminary stiffened grid type beam and slab foundation parameters are presented in Table No. 5 in the following page.

Table No. 4

Boring Location	Design Plasticity Index
B-1	55
B-2	65
B-3	60
B-4	55
B-5	55
B-6	55

Table No. 5 - Preliminary Stiffened Grid Type Beam and Slab Foundation Parameters

Design Plasticity Index	Net Allowable Bearing Capacity (psf)	Unconfined Compressive Strength (tsf)	Soil Support Index	Climatic Rating
55 - 65*	1,500	0.7	0.62	17

^{*}at the Boring Locations

Notes:

- The grade beams should be founded on or within proof rolled natural undisturbed soils or compacted select fill.
- Allowable bearing capacity values as shown in Table No. 5 are recommended for grade beams founded at a minimum depth of 24 inches below final subgrade elevation.
- The grade beams should be a minimum of 10 inches width.
- The parameters are based on existing grade (undeveloped tract) and limited number of borings drilled at the site. The parameters may change after any cut and fill operations.



The preliminary soil recommendations in this report are presented for planning and evaluation purposes and should not be used for final design purposes. The recommendations presented in this preliminary report may be different from the recommendations after site development and after all the final soil borings are done.

Post-Tensioned Beam and Slab Foundations

Post-tensioned slab-on-grade foundations may also be used to support the proposed residences.

Differential vertical movements should be expected for shallow type foundations at this site **due to expansive soil conditions which were encountered.** Differential vertical movements will be estimated for both the center lift and edge lift conditions for post-tensioned slab-on grade construction at this site. These movements were estimated using the procedures and criteria discussed in the Post-Tensioning Institute Manual entitled "Design of Post Tensioned Slabs-on-Ground-Third Edition Manual. The PTI parameters are evaluated for moisture content change of average to wet conditions. If PTI parameters are required for wider range of moisture content changes, please contact InTEC to recalculate the PTI parameters. We recommend that the structural engineer consider the assumptions and limitations of the method while establishing minimum PTI design parameters for foundation system design to account for the relatively low Ym values produced when analyzing certain soil conditions. The estimated preliminary PTI parameters for the residential structures are provided in Table No. 6.



<u>Table No. 6 – Preliminary Post Tension Parameters</u>

Design Plasticity Index Value →	55 – 65
Center Lift, Ym values, Inches	
Grade beams founded at a depth of 24 inches below final grade elevation	2.5
Grade beams founded at a depth of 30 inches below final grade elevation	2.2
Grade beams founded at a depth of 36 inches below final grade elevation	1.9
Grade beams founded at a depth of 42 inches below final grade elevation	1.6
Edge Lift, Ym values, Inches	
Grade beams founded at a depth of 24 inches below final grade elevation	3.6
Grade beams founded at a depth of 30 inches below final grade elevation	3.1
Grade beams founded at a depth of 36 inches below final grade elevation	2.6
Grade beams founded at a depth of 42 inches below final grade elevation	2.1
Center Lift (Em values, ft)	8.0
Edge Lift (Em values, ft)	4.0
Allowable Bearing Capacity (psf)	1,500

Notes:

- The grade beams should be founded on or within proof rolled natural undisturbed soils or compacted select fill.
- Allowable bearing capacity values as shown in Table No. 5 are recommended for grade beams founded at a minimum depth of 24 inches below final subgrade elevation.
- The grade beams should be a minimum of 10 inches wide.
- The parameters are based on existing grade (undeveloped tract) and limited number of borings drilled at the site. The parameters may change after any cut and fill operations.

The above values are based on limited number of borings and are preliminary in nature. The above recommendations should not be used for final design purposes. Design PTI differential movement (Ym) for the soil conditions encountered at the site will be calculated and presented in the report after additional borings are performed for final soil and foundation analysis investigation.

The thickness of the clay stratum may vary within a building footprint area. If proper drainage is not maintained, resulting potential vertical movements will be much greater than 2 or 3 times the



anticipated vertical movements. Significant differences in fill thicknesses from one side of the structure to the other side may result in larger than anticipated differential movements. As a result of such conditions, the differential vertical movements experienced may be greater than the anticipated total vertical movements. If such conditions exist or are anticipated, then the above recommended post tension parameters may need to be reevaluated.

The Post-Tensioning Institute (PTI) method incorporates numerous design assumptions associated with the derivation of required variables needed to determine the soil design criteria. The PTI method of predicting differential soil movement is applicable only when site moisture conditions are controlled by the climate alone (i.e. not improper drainage or water leaks). The performance of a slab and movement magnitudes can be significantly influenced by yard maintenance, water line leaks, and trees present before and after construction.

Moisture Barrier

We recommend placement of a polyethylene moisture barrier under soil supported floor slab to reduce the possibility of moisture migration through the slab.

Utilities

Utilities which project through slab-on-grade floors should be designed with either some degree of flexibility or with sleeves in order to prevent damage to these lines should vertical movement occur.

Utility excavations may encounter ground water. The ground water can be handled by sump and pump method of dewatering systems.

Deep excavations may encounter ground water which may flow through the gravel fill surrounding the pipeline; this water may be near the ground surface at lower points in the subdivision. The gravel should be extended to a nearby creek or drainage feature and pressure head should be relieved by day lighting at the ground surface.

Contraction, Control, or Expansion Joints

Contraction, control or expansion joints should be designed and placed in various portions of the structure. Properly planned placement of these joints will assist in controlling the degree and



location of material cracking which normally occurs due to soil movements, material shrinkage, thermal affects, and other related structural conditions.

Lateral Earth Pressure

InTEC is not aware of proposed retaining walls at this site. Some of the grade beams may act as retaining structures in addition to transferring vertical loads such as step down garages. Some cantilever retaining walls may be needed at this site. The following equivalent fluid density values may be used for preliminary calculations.

Table No. 7

	Equivalent Fluid Density PCF	
Backfill Material	Active Condition	At Rest condition
a. Crushed Limestone	40	55
b. Clean Sand	45	60
c. On-site clays	75	95

These equivalent fluid densities do not include the effect of seepage pressures, surcharge loads such as construction equipment, vehicular loads or future storage near the walls. If the basement wall or cantilever retaining wall can tilt forward to generate "active earth pressure" condition, the values under active condition should be used. For rigid non-yielding walls which are part of the building, the values "at rest condition" should be used.

The compactive effort should be controlled during backfill operations. Over-compaction can produce lateral earth pressures in excess of at-rest magnitudes. Compaction levels adjacent to below-grade walls should be maintained between 95 and 98 percent of standard Proctor (ASTM D 698) maximum dry density.

The backfill behind the wall or step down garages should be drained properly. The simplest drainage system consists of a drain located near the bottom of the wall. The drain collects the water that enters the backfill and this may be disposed of through outlets in the wall called "weep holes". To ensure that the drains are not clogged by fine particles, they should be surrounded by a granular filter. In spite of a well-constructed toe drain, substantial water pressure may develop behind the wall if the backfill consists of clays or silts. A more satisfactory drainage system, consisting of a back drain of 12 inches to 24 inches wide gravel may be provided behind the wall to facilitate drainage.



The maximum toe pressure for footings founded two feet below finish grade elevation should not exceed 1,500 pounds per square feet. An adhesion value of 600 pounds per square foot may be used under the wall footings to check against sliding. This adhesion value is applicable for retaining wall bases supported on the existing clay soils.

Some retaining wall bases may be supported on or within compacted select fill material. For these wall bases, a coefficient of sliding friction value of 0.4 is recommended.

If passive pressure is required at any location, we should be informed. We can provide the passive pressure for that particular condition after considering the rigidity and soil-structure interaction characteristics of that structure.



CONSTRUCTION GUIDELINES

Construction Monitoring

As Geotechnical Engineer of Record for this project, InTEC should be involved in monitoring the foundation installation and earth work activities. Performance of any foundation system is not only dependent on the foundation design, but is strongly influenced by the quality of construction. Please contact our office prior of construction so that a plan for foundation and earthwork monitoring can be incorporated in the overall project quality control program.

Site Preparation

Site preparation will consist of preparation of the subgrade, and placement of select structural fill. The project geotechnical engineer InTEC should approve the subgrade preparation, the fill materials, and the method of fill placement and compaction.

In any areas where soil-supported floor slabs or pavement are to be used, vegetation and all loose or excessively organic material should be stripped to a minimum depth of six inches and removed from the site. Subsequent to stripping operations, the subgrade should be proof rolled in the presence of InTEC prior to fill placement and recompacted to 95 percent of the maximum dry density as determined by ASTM D 698 test method within one percent below or three percent above optimum moisture content. Each lift should be tested by InTEC for compaction compliance and approved before placement of the subsequent lifts. The exposed subgrade should not be allowed to dry out prior to placing structural fill.

If cut and fill operations are performed underneath the building pad, a uniform fill thickness is recommended. Significant differences in fill thicknesses from one side of the structure to the other side may result in larger than anticipated differential movements.

Voids caused by site preparation, such as removal of trees, should be replaced with select structural fill and compacted in accordance with the select fill compaction recommendations.

Compaction

Any loose or wet materials should be removed and wasted. The fill placement in the low areas should not be in a "bowl shape". The sides of the fill area should be "squared up" and the excavated bottom should be proof rolled as described in *Proof Rolling* section of this report. On site material, with no



deleterious material, may be used to raise the grade. After proof rolling operation, the fill should be placed in 6 inch lifts and compacted to a minimum of **95 percent of the maximum dry density as determined by ASTM D 698 test method within optimum and three percent above optimum moisture content.** Each lift should be tested by InTEC for compaction compliance and approved before placement of the subsequent lifts. The exposed subgrade should not be allowed to dry out prior to placing structural fill. It is recommended that any given lot does not straddle filled areas and natural areas to help reduce differential movement of the structures.

The excavation boundaries should be set such that building areas do not straddle fill and natural areas. The anticipated potential vertical movement may be significantly affected after the cut and fill operations are performed in this area.

Proof Rolling

Proof rolling should be accomplished in order to locate and densify any weak compressible zones under the building and pavement areas and prior to placement of the select fill or base.

A minimum of 10 passes of a 25 ton pneumatic roller should be used for planning purposes. The operating load and tire pressure should conform to the manufactures specification to produce a minimum ground contact pressure of 90 pound per square inch. Proof rolling should be performed under the observation of InTEC. The soils that yield or settle under proof rolling operations should be removed, dried and compacted or replaced with compacted select fill to grade.

Density tests should be conducted as specified under *Control Testing and Filed Observation* after satisfactory proof rolling operation.

Select Fill

Any select structural fill used under the building should have a liquid limit less than 40 and a plasticity index in between 5 and 20 and be crushed limestone. The fill should contain no particles greater than 3 inches in diameter. The percent passing U.S. Standard Sieve No. 4 should be in between 40 and 80 percent and Sieve No. 40 passing should be in between 10 and 50 percent. The percent passing Sieve No. 200 should be less than 20 percent.

Crushed limestone with sufficient fines to bind the aggregate together is a suitable select structural fill material. The fill materials should be placed in loose lifts not to exceed 8 inches thick (6-inches



compacted) and compacted to 95 percent of the maximum dry density as determined by ASTM D 1557 procedure at a moisture content within 3 percent of the optimum water content.

General Fill

General fill materials may consist of clean on-site material or any clean imported fill material. The purpose of a general fill is to provide soils with good compaction characteristics that will provide uniform support for any non-habitable structures that are not movement sensitive. The general fill may also be used underneath the pavement areas. The pavement recommendations should be reevaluated based on the fill material characteristics. The general fill material should be free of any deleterious material, construction debris, organic material, and should not have gravels larger than 6 inches in maximum dimension. The top two feet of fill material used underneath pavement areas should not have gravels larger than 3 inches in maximum dimension.

It should be understood that the use of the general fill may result in greater than anticipated potential vertical movements and differential movements. If the greater potential vertical movements or differential soil movements cannot be tolerated, then select fill material should be used and should conform to the Select Fill recommendations.

General Fill Compaction

The general fill materials should be placed in lifts not to exceed 8 inches thick and compacted to a minimum of 95 percent of the maximum dry density as determined by test method ASTM D 698 at a moisture content within 3 percent of the optimum water content. Each lift should be compacted and tested by a representative of a geotechnical laboratory to verify compaction compliance and approved before placement of the subsequent lifts.

Ground Water

In any areas where significant cuts (2-ft or more) are made to establish final grades for building pads, attention should be given to possible seasonal water seepage that could occur through natural cracks and fissures in the newly exposed stratigraphy. Subsurface drains may be required to intercept seasonal groundwater seepage. The need for these or other dewatering devices on building pads should be carefully addressed during construction. Our office could be contacted to visually inspect final pads to evaluate the need for such drains.



The ground water seepage may happen several years after construction if the rainfall rate or drainage changes within the project site or outside the project site. If seepage run off occurs towards the building an engineer should be called on to evaluate its effect and provision of French Drains at this location.

Drainage

Ground water seepage was not encountered in the borings at the time of drilling. However, minor ground water seepage may be encountered within the proposed building foundations and grading excavations at the time of construction, especially after periods of heavy precipitation. Small quantities of seepage may be handled by conventional sump and pump methods of dewatering.

Temporary Drainage Measures

Temporary drainage provisions should be established, as necessary, to minimize water runoff into the construction areas. If standing water does accumulate, it should be removed by pumping as soon as possible.

Adequate protection against sloughing of soils should be provided for workers and inspectors entering the excavations. This protection should meet O.S.H.A. and other applicable building codes.

Temporary Construction Slopes

Temporary slopes on the order of 1H to 1V may be provided for excavations through Strata I clays.

Fill slopes on the order of 1H to 1V may be used provided a) the fill materials are compacted as recommended and b) the slopes are temporary.

Fill slopes should be compacted. Compacting operations shall be continued until the slopes are stable but not too dense for planting on the slopes. Compaction of the slopes may be done in increments of 3 to 5-ft in fill height or the fill is brought to its total height for shallow fills.

Permanent Slopes

Maximum permanent slope of 1V to 3H is recommended in Stratum I clays. In areas where people walk on sloped areas, a slope of 1V to 5H is recommended.



Time of Construction

If the foundation slab is installed during or after an extended dry period, the slab may experience greater movement around the edges when the soil moisture content increases, such as due to rain or irrigation. Similarly, a slab installed during or after a wet period may experience greater movement around the edges during the subsequent drying of the soils.

Control Testing and Field Observation

Subgrade preparation and select structural fill placement should be monitored by the project geotechnical engineer or a representative of InTEC. As a guideline, at least one in-place density test should be **performed for each 3,000 square feet of compacted surface lift.** However, a minimum of three density tests should be performed by InTEC on the subgrade or per lift of compaction. Any areas not meeting the required compaction should be re-compacted and retested until compliance is met.

Foundation Construction and Field Observation

It is recommended that all grade beam excavations be extended to the final grade and grade beams constructed as soon as possible to minimize potential damage to the bearing soils. Exposure to environment may weaken the soils at the bearing level if the foundation excavation remains open for long periods of time. The foundation bearing level should be free of loose soil, ponded water or debris. The bearing level should be inspected by InTEC and approved before placement of concrete.

Foundation concrete should not be placed on soils that have been disturbed by rainfall or seepage. If the bearing soils are softened by surface water intrusions during exposure or by desiccation, the unsuitable soils must be removed from the foundation excavation and replaced prior to placement of concrete.



DRAINAGE AND MAINTENANCE

Final drainage is very important for the performance of the proposed structures and the pavement. Landscaping, plumbing, and downspout drainage is also very important. It is vital that all roof drainage be transported away from the building so that no water ponds around the buildings which can result in soil volume change under the buildings. Plumbing leaks should be repaired as soon as possible in order to minimize the magnitude of moisture change under the slab. Large trees and shrubs should not be planted in the immediate vicinity of the structures, since root systems can cause a substantial reduction in soil volume in the vicinity of the trees during dry periods.

Adequate drainage should be provided to reduce seasonal variations in moisture content of foundation soils. All pavement and sidewalks within 10-ft of the structures should be sloped away from the structures to prevent ponding of water around the foundations. Final grades within 10-ft of the structures should be adjusted to slope away from structures preferably at a minimum slope of 3 percent. Maintaining positive surface drainage throughout the life of the structures is essential.

In areas with pavement or sidewalks adjacent to the new structures, a positive seal must be provided and maintained between the structures and the pavement or sidewalk to minimize seepage of water into the underlying supporting soils. Post-construction movement of pavement and flat-work is not uncommon. Maximum grades practical should be used for paving and flatwork to prevent areas where water can pond. In addition, allowances in final grades should take into consideration post construction movement of flatwork particularly if such movement would be critical. Normal maintenance should include inspection of all joints in paving and sidewalks, etc. as well as resealing where necessary.

Several factors relate to civil and architectural design and/or maintenance which can significantly affect future movements of the foundation and floor slab systems:

- 1. Where positive surface drainage cannot be achieved by sloping the ground surface adjacent to the buildings, a complete system of gutters and downspouts should carry runoff water a minimum of 10-ft from the completed structures.
- 2. Planters located adjacent to the structures should preferably be **self-contained**. Sprinkler mains should be located a minimum of five feet from the building line.
- 3. Planter box structures placed adjacent to building should be provided with a means to assure concentrations of water are not available to the subsoils stratigraphy.



- 4. Large trees and shrubs should not be allowed closer to the foundations than a horizontal distance equal to roughly their mature height due to their significant moisture demand upon maturing.
- 5. Moisture conditions should be maintained "**constant**" **around the edge of the slabs.** Ponding of water in planters, in unpaved areas, and around joints in paving and sidewalks can cause slab movements beyond those predicted in this report.
- 6. **Roof drains should discharge on pavement** or be extended away from the structures. Ideally, roof drains should discharge to storm sewers by closed pipe.

Trench backfill for utilities should be properly placed and compacted as outlined in this report and in accordance with requirements of local City Standards. Since granular bedding backfill is used for most utility lines, the backfilled trench should be prevented from becoming a conduit and allowing an access for surface or subsurface water to travel toward the new structures. Concrete cut-off collars or clay plugs should be provided where utility lines cross building lines to prevent water traveling in the trench backfill and entering beneath the structures.

The PVR values estimated and stated under Vertical Movements are based on provision and maintenance of positive drainage to divert water away from the structures and the pavement areas. If the drainage is not maintained, the wetted front may move below the assumed twelve feet depth, and resulting PVR will be much greater than 2 or 3 times the stated values under Vertical Movements. Utility line leaks may contribute water and cause similar movements to occur. If drainage is modified in the future or if additional landscaping is done in the vicinity of the structure or if a new structure is constructed in the vicinity of this structure, the effect of such improvements on this project structure should be reevaluated.

Dry Periods

Close observations should be made around foundations during extreme dry periods to ensure that adequate watering is being provided to keep soil from separating or pulling back from the foundation.



LIMITATIONS

The preliminary analysis and recommendations submitted in this report are based upon the data obtained from a limited number of **six borings**. This report may not reflect the exact variations of the soil conditions across the site.

The information contained in this report and on the boring logs is intended to provide planning and development information only.

The project geotechnical engineer declares that the findings, preliminary recommendations or professional advice contained herein have been made and this report prepared in accordance with generally accepted professional engineering practice in the fields of geotechnical engineering and engineering geology. The recommendations presented in this report should be reevaluated by InTEC if cut and fill operations are performed or if any changes are made to drainage conditions. No other warranties are implied or expressed.

This report is considered to be preliminary and the recommendations presented in this report are for the purposes of preliminary site evaluation and should not be used for final design and construction. We recommend that additional investigation be performed for foundation design recommendations.

This report has been prepared for the exclusive use of San Antonio LD, LLC for the purposes of Preliminary Soil Survey for the 14.384 Acre Kloppe Tract at 7975 Interstate 10 E in San Antonio, Texas.

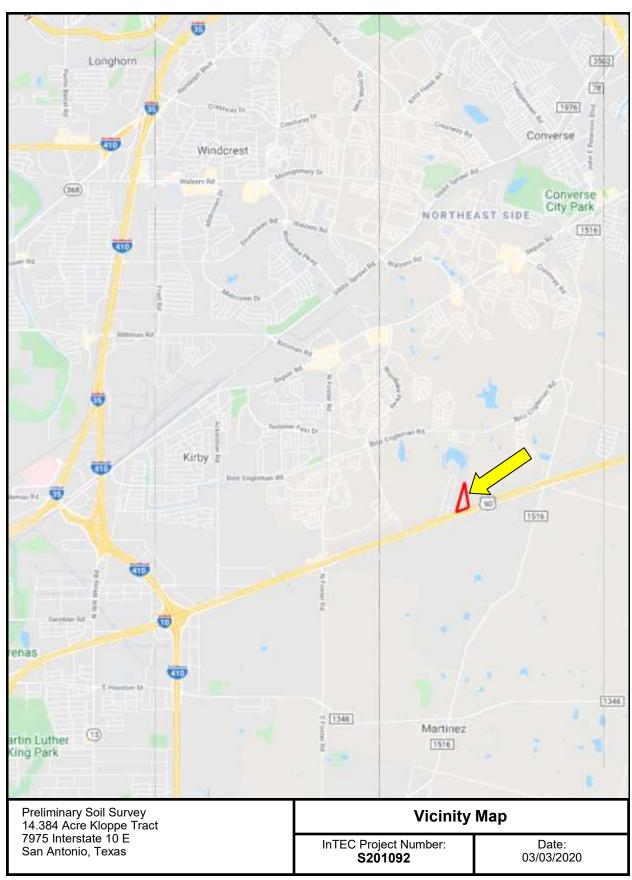
Illustration Section

Description	Plate No.
Vicinity Map	Plate 1A
Aerial Map	Plate 1B
Topographic Map	Plate 1C
Geologic Map	Plate 1D
Soil Map	Plate 1E
Approximate Boring Locations	Plate 1F
Boring Logs	Plates 2—7
Keys to Classifications and Symbols	Plate 8
Information on Geotechnical Report	Appendix

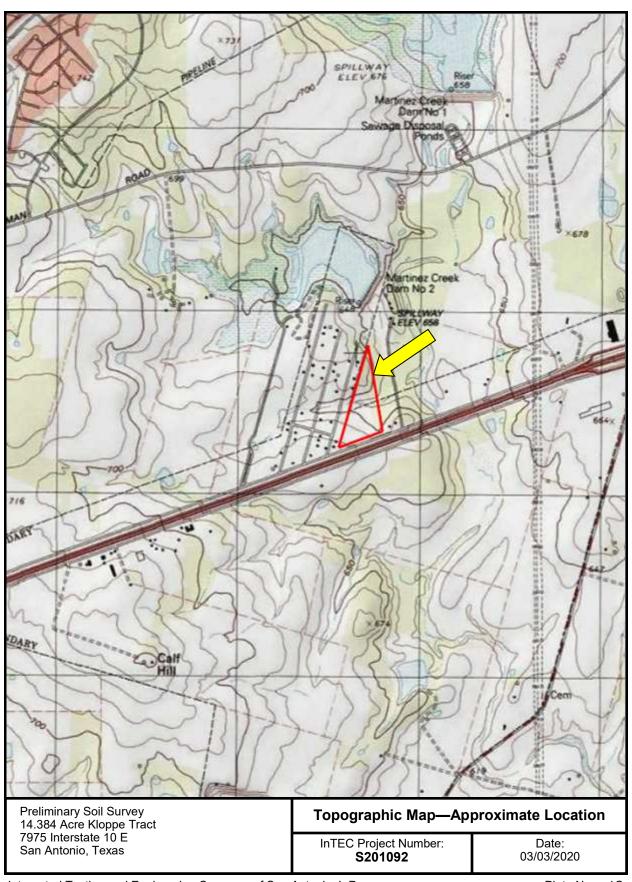
Preliminary Soil Survey 14.384 Acre Kloppe Tract 7975 Interstate 10 E San Antonio, Texas

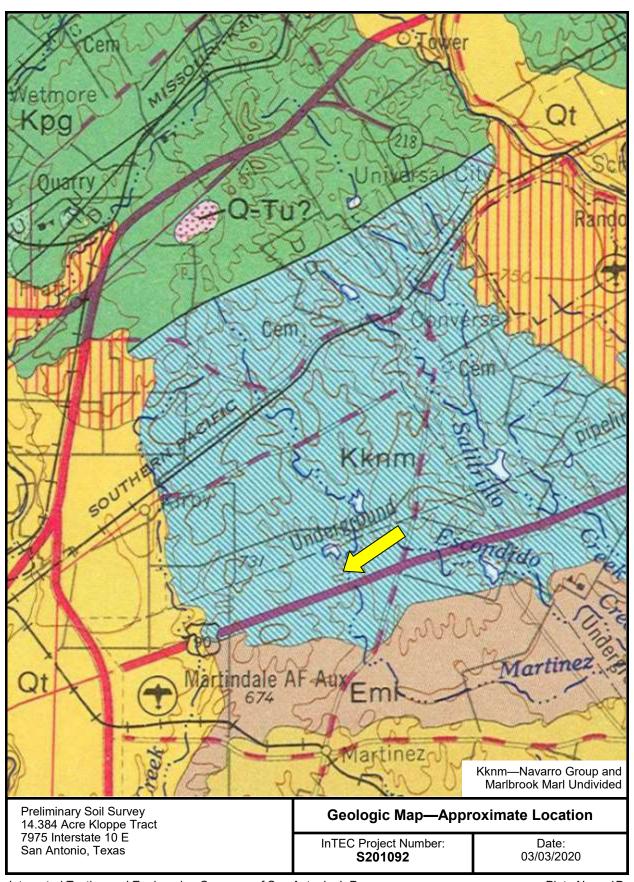
InTEC Project Number: **\$201092**

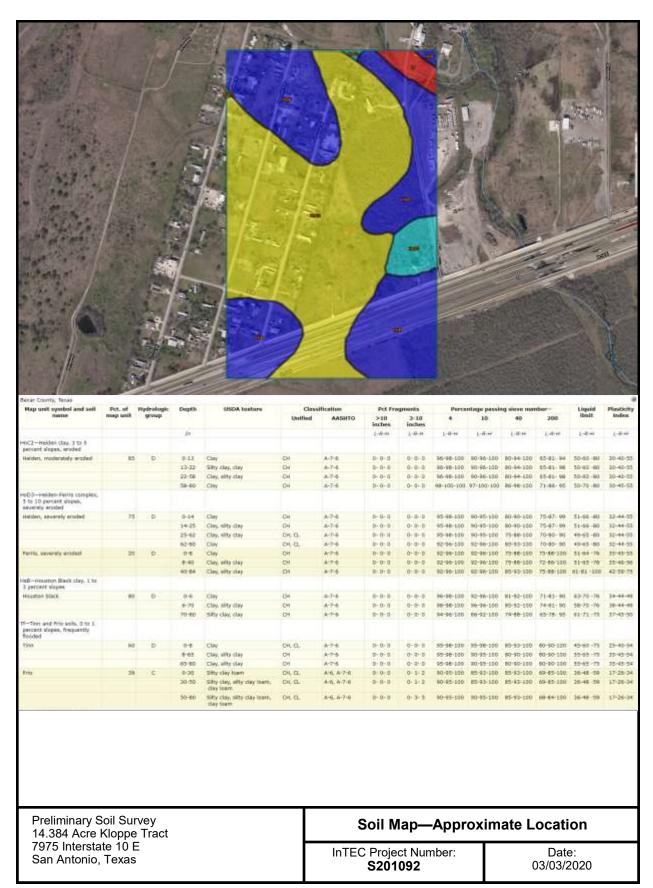
Date: 03/03/2020









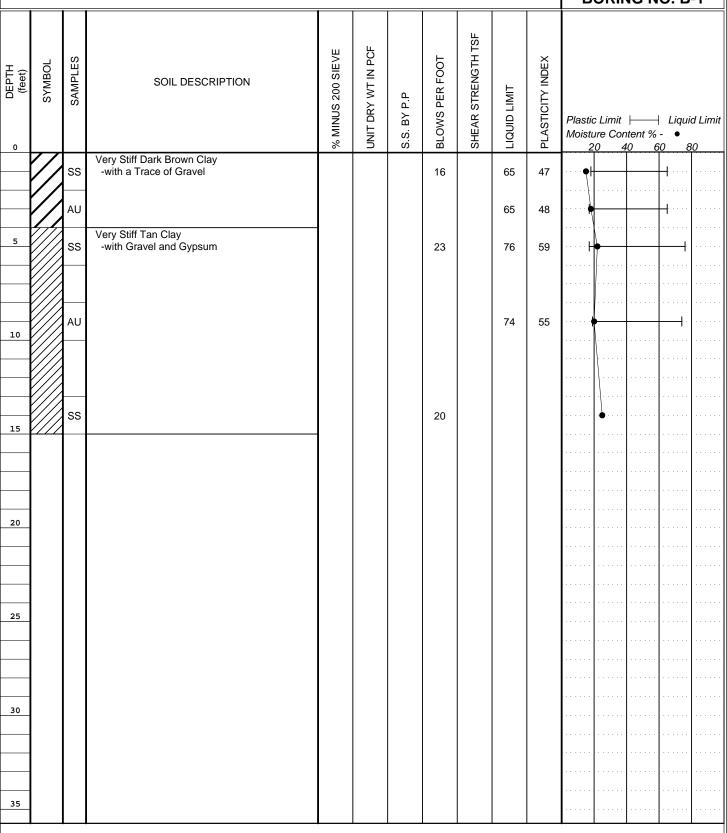




PROJECT NO: S201092 DATE: 04-08-2020

InTEC

BORING NO. B-1



Notes: Ground Water Observed: No Completion Depth (ft): 15

S.S by P.P - Shear Strength in TSF by Hand Penetrometer

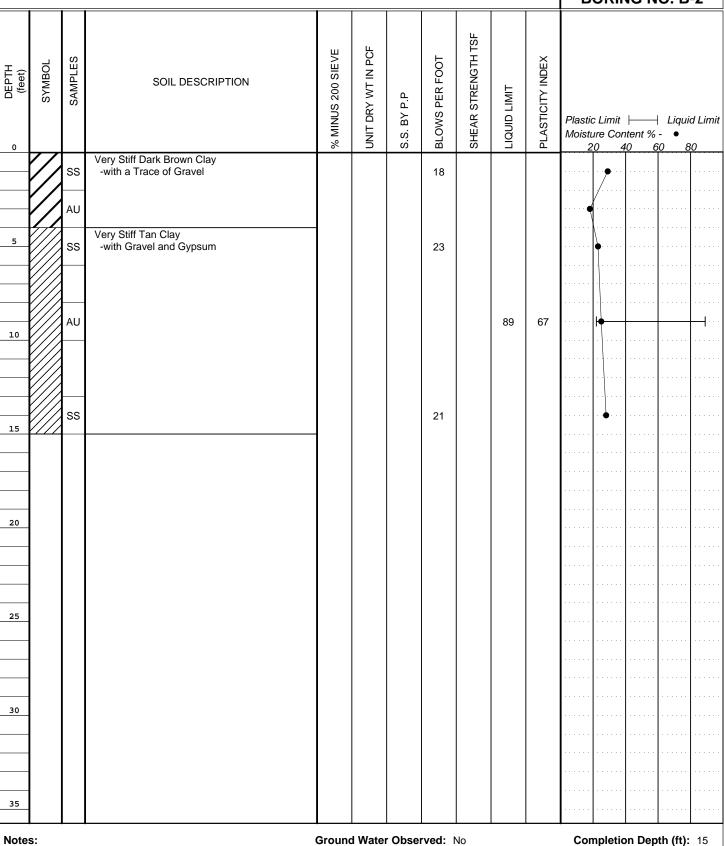
S.S. - Split Spoon Sample S.T. - Shelby Tube Sample

HA - Hand Auger AU - Auger Sample

PROJECT NO: S201092 DATE: 04-08-2020

Intec

BORING NO. B-2



S.S by P.P - Shear Strength in TSF by Hand Penetrometer

S.S. - Split Spoon Sample S.T. - Shelby Tube Sample

HA - Hand Auger AU - Auger Sample

PROJECT NO: S201092 DATE: 04-14-2020



		an Antonio EB, EEG								BORING NO. B-3
O DEPTH (feet)	SAMPLES	SOIL DESCRIPTION	% MINUS 200 SIEVE	UNIT DRY WT IN PCF	S.S. BY P.P	BLOWS PER FOOT	SHEAR STRENGTH TSF	LIQUID LIMIT	PLASTICITY INDEX	Plastic Limit ├── Liquid Limit Moisture Content % - ● 20 40 60 80
	НА	Dark Brown Clay -with a Trace of Gravel								
10		Hand Auger Refusal HA= Hand Auger Sample								
20										
25										
30										
Notes:	!		Ground	d Wate	r Obse	rved:	No			Completion Depth (ft): 3

S.S by P.P - Shear Strength in TSF by Hand Penetrometer

S.S. - Split Spoon Sample S.T. - Shelby Tube Sample

HA - Hand Auger AU - Auger Sample

PROJECT NO: S201092 DATE: 04-08-2020



											BORING NO. B-4
o DEPTH (feet)	SYMBOL	SAMPLES	SOIL DESCRIPTION	% MINUS 200 SIEVE	UNIT DRY WT IN PCF	S.S. BY P.P	BLOWS PER FOOT	SHEAR STRENGTH TSF	LIQUID LIMIT	PLASTICITY INDEX	Plastic Limit ├── Liquid Limit Moisture Content % - ● 20 40 60 80
		ss	Very Stiff Dark Brown Clay -with a Trace of Gravel				19				
5		SS	Very Stiff Tan Clay -with Gravel and Gypsum				28		59	38	
10		AU									
15		SS					26				
20											
25											
30											
35											

Notes: Ground Water Observed: No Completion Depth (ft): 15

S.S by P.P - Shear Strength in TSF by Hand Penetrometer

S.S. - Split Spoon Sample S.T. - Shelby Tube Sample

HA - Hand Auger AU - Auger Sample

PROJECT NO: S201092 **DATE:** 04-14-2020



											BORING NO. B-5
o DEPTH (feet)	SYMBOL	SAMPLES	SOIL DESCRIPTION	% MINUS 200 SIEVE	UNIT DRY WT IN PCF	S.S. BY P.P	BLOWS PER FOOT	SHEAR STRENGTH TSF	LIQUID LIMIT	PLASTICITY INDEX	Plastic Limit —— Liquid Limit Moisture Content % - ● 20 40 60 80
		НА	Dark Brown Clay -with a Trace of Gravel								
10			Hand Auger Refusal HA= Hand Auger Sample								
20											
25											
30											
35											
Note	s:			Groun	 d Wate	r Obse	rved: 1	l			Completion Depth (ft): 2

S.S by P.P - Shear Strength in TSF by Hand Penetrometer

S.S. - Split Spoon Sample S.T. - Shelby Tube Sample **HA - Hand Auger** AU - Auger Sample

PROJECT NO: S201092 DATE: 04-14-2020



											BORING NO. B-6
o DEPTH (feet)	SYMBOL	SAMPLES	SOIL DESCRIPTION	% MINUS 200 SIEVE	UNIT DRY WT IN PCF	S.S. BY P.P	BLOWS PER FOOT	SHEAR STRENGTH TSF	LIQUID LIMIT	PLASTICITY INDEX	Plastic Limit ├── Liquid Limit Moisture Content % - ● 20 40 60 80
		НА	Dark Brown Clay -with a Trace of Gravel						72	51	
10			Hand Auger Refusal HA= Hand Auger Sample	_							
20											
30											
35 Notes	s:			Ground	d Wate	r Obse	rved:	No No			Completion Depth (ft): 3

S.S by P.P - Shear Strength in TSF by Hand Penetrometer

S.S. - Split Spoon Sample S.T. - Shelby Tube Sample

HA - Hand Auger AU - Auger Sample

KEY TO CLASSIFICATIONS AND SYMBOLS

Soil Fractions

Soil or Rock Types (Shown in symbols column) (Predominate Soil Types Shown Heavy)

Component	Size Range					
Boulders	Greater than 12"					
Cobbles	3" - 12"					
Gravel	3" - #4 (4.76mm)					
Coarse	3" - 14"					
Fine	34" - #4					
Sand	#4 - #200 (0.074mm					

#4 - #10 (2.00mm) Coarse #10 - #40 (0.42mm) Medium Fine #40 - #200 (0.074mm) Silt and Clay Less than #200











Sandy Gravel







Limestone

Sandy Clay

TERMS DESCRIBING SOIL CONSISTENCY

Description (Cohesive <u>Soils)</u>	Unconfined Compression TSF	Blows/Ft. Std. Penetration Test	Description (Cohesionless Soils	Blows/Ft. Std. Penetration Tests
Very Soft	0.25	<2	Very Loose	0 - 4
Soft	0.25 - 0.50	2-4	Loose	4-10
Firm	0.50 - 1.00	4 - 8	Medium Dense	10 - 30
Stiff	1.00 - 2.00	8-15	Dense	30 - 50
Very Stiff Hard	2.00 - 4.00 >4.00	15 - 30 >30	Very Dense	50

SOIL STRUCTURE

Calcareous Containing deposits of calcium carbonate, generally nodular.

Slickenside Having inclined planes of weakness that are slick and glossy in appearance.

Laminated Composed of thin layers of varying color and texture.

Fissured Containing shrinkage cracks frequently filled with fine sand or silt. Usually more or less vertical.

Interbedded Composed of alternate layers of different soil types.

Jointed Consisting of hair cracks that fall apart as soon as the confining pressure is removed.

Varved Consisting of alternate thin layers of sand, silt or clay formed by variations in sedimentations

during the various seasons of the year, of often exhibiting contrasting colors when partially dried.

Each layer is generally less than 1/2" in thickness.

Stratified Composed of, or arranged in layers (usually 1 inch or more)

Well-graded Having a wide range of grain sizes and substantial amount of all intermediate particle sizes.

Poorly or Gap-graded Having a range of sizes with some intermediate sizes missing.

Uniformly-graded Predominantly of one grain size.

Preliminary Soil Survey 14.384 Acre Kloppe Tract 7975 Interstate 10 E San Antonio, Texas

InTEC Project Number: S201092

Date: 03/03/2020

Арј	pendix	
Preliminary Soil Survey 14.384 Acre Kloppe Tract 7975 Interstate 10 E San Antonio, Texas	InTEC Project Number: S201092	Date: 03/03/2020

Important Information about This

Geotechnical-Engineering Report

Subsurface problems are a principal cause of construction delays, cost overruns, claims, and disputes.

While you cannot eliminate all such risks, you can manage them. The following information is provided to help.

The Geoprofessional Business Association (GBA) has prepared this advisory to help you – assumedly a client representative - interpret and apply this geotechnical-engineering report as effectively as possible. In that way, clients can benefit from a lowered exposure to the subsurface problems that, for decades, have been a principal cause of construction delays, cost overruns, claims, and disputes. If you have questions or want more information about any of the issues discussed below, contact your GBA-member geotechnical engineer. **Active involvement in the Geoprofessional Business** Association exposes geotechnical engineers to a wide array of risk-confrontation techniques that can be of genuine benefit for everyone involved with a construction project.

Geotechnical-Engineering Services Are Performed for Specific Purposes, Persons, and Projects

Geotechnical engineers structure their services to meet the specific needs of their clients. A geotechnical-engineering study conducted for a given civil engineer will not likely meet the needs of a civilworks constructor or even a different civil engineer. Because each geotechnical-engineering study is unique, each geotechnical-engineering report is unique, prepared solely for the client. Those who rely on a geotechnical-engineering report prepared for a different client can be seriously misled. No one except authorized client representatives should rely on this geotechnical-engineering report without first conferring with the geotechnical engineer who prepared it. And no one – not even you – should apply this report for any purpose or project except the one originally contemplated.

Read this Report in Full

Costly problems have occurred because those relying on a geotechnical-engineering report did not read it *in its entirety*. Do not rely on an executive summary. Do not read selected elements only. *Read this report in full*.

You Need to Inform Your Geotechnical Engineer about Change

Your geotechnical engineer considered unique, project-specific factors when designing the study behind this report and developing the confirmation-dependent recommendations the report conveys. A few typical factors include:

- the client's goals, objectives, budget, schedule, and risk-management preferences;
- the general nature of the structure involved, its size, configuration, and performance criteria;
- the structure's location and orientation on the site; and
- other planned or existing site improvements, such as retaining walls, access roads, parking lots, and underground utilities.

Typical changes that could erode the reliability of this report include those that affect:

- the site's size or shape;
- the function of the proposed structure, as when it's changed from a parking garage to an office building, or from a light-industrial plant to a refrigerated warehouse;
- the elevation, configuration, location, orientation, or weight of the proposed structure;
- the composition of the design team; or
- · project ownership.

As a general rule, *always* inform your geotechnical engineer of project changes – even minor ones – and request an assessment of their impact. The geotechnical engineer who prepared this report cannot accept responsibility or liability for problems that arise because the geotechnical engineer was not informed about developments the engineer otherwise would have considered.

This Report May Not Be Reliable

Do not rely on this report if your geotechnical engineer prepared it:

- for a different client;
- for a different project;
- for a different site (that may or may not include all or a portion of the original site); or
- before important events occurred at the site or adjacent to it; e.g., man-made events like construction or environmental remediation, or natural events like floods, droughts, earthquakes, or groundwater fluctuations.

Note, too, that it could be unwise to rely on a geotechnical-engineering report whose reliability may have been affected by the passage of time, because of factors like changed subsurface conditions; new or modified codes, standards, or regulations; or new techniques or tools. *If your geotechnical engineer has not indicated an "apply-by" date on the report, ask what it should be,* and, in general, *if you are the least bit uncertain* about the continued reliability of this report, contact your geotechnical engineer before applying it. A minor amount of additional testing or analysis – if any is required at all – could prevent major problems.

Most of the "Findings" Related in This Report Are Professional Opinions

Before construction begins, geotechnical engineers explore a site's subsurface through various sampling and testing procedures. Geotechnical engineers can observe actual subsurface conditions only at those specific locations where sampling and testing were performed. The data derived from that sampling and testing were reviewed by your geotechnical engineer, who then applied professional judgment to form opinions about subsurface conditions throughout the site. Actual sitewide-subsurface conditions may differ – maybe significantly – from those indicated in this report. Confront that risk by retaining your geotechnical engineer to serve on the design team from project start to project finish, so the individual can provide informed guidance quickly, whenever needed.

This Report's Recommendations Are Confirmation-Dependent

The recommendations included in this report – including any options or alternatives – are confirmation-dependent. In other words, they are not final, because the geotechnical engineer who developed them relied heavily on judgment and opinion to do so. Your geotechnical engineer can finalize the recommendations only after observing actual subsurface conditions revealed during construction. If through observation your geotechnical engineer confirms that the conditions assumed to exist actually do exist, the recommendations can be relied upon, assuming no other changes have occurred. The geotechnical engineer who prepared this report cannot assume responsibility or liability for confirmation-dependent recommendations if you fail to retain that engineer to perform construction observation.

This Report Could Be Misinterpreted

Other design professionals' misinterpretation of geotechnicalengineering reports has resulted in costly problems. Confront that risk by having your geotechnical engineer serve as a full-time member of the design team, to:

- confer with other design-team members,
- help develop specifications,
- review pertinent elements of other design professionals' plans and specifications, and
- be on hand quickly whenever geotechnical-engineering guidance is needed.

You should also confront the risk of constructors misinterpreting this report. Do so by retaining your geotechnical engineer to participate in prebid and preconstruction conferences and to perform construction observation.

Give Constructors a Complete Report and Guidance

Some owners and design professionals mistakenly believe they can shift unanticipated-subsurface-conditions liability to constructors by limiting the information they provide for bid preparation. To help prevent the costly, contentious problems this practice has caused, include the complete geotechnical-engineering report, along with any attachments or appendices, with your contract documents, but be certain to note conspicuously that you've included the material for informational purposes only. To avoid misunderstanding, you may also want to note that "informational purposes" means constructors have no right to rely on the interpretations, opinions, conclusions, or recommendations in the report, but they may rely on the factual data relative to the specific times, locations, and depths/elevations referenced. Be certain that constructors know they may learn about specific project requirements, including options selected from the report, only from the design drawings and specifications. Remind constructors that they may

perform their own studies if they want to, and *be sure to allow enough time* to permit them to do so. Only then might you be in a position to give constructors the information available to you, while requiring them to at least share some of the financial responsibilities stemming from unanticipated conditions. Conducting prebid and preconstruction conferences can also be valuable in this respect.

Read Responsibility Provisions Closely

Some client representatives, design professionals, and constructors do not realize that geotechnical engineering is far less exact than other engineering disciplines. That lack of understanding has nurtured unrealistic expectations that have resulted in disappointments, delays, cost overruns, claims, and disputes. To confront that risk, geotechnical engineers commonly include explanatory provisions in their reports. Sometimes labeled "limitations," many of these provisions indicate where geotechnical engineers' responsibilities begin and end, to help others recognize their own responsibilities and risks. *Read these provisions closely*. Ask questions. Your geotechnical engineer should respond fully and frankly.

Geoenvironmental Concerns Are Not Covered

The personnel, equipment, and techniques used to perform an environmental study – e.g., a "phase-one" or "phase-two" environmental site assessment – differ significantly from those used to perform a geotechnical-engineering study. For that reason, a geotechnical-engineering report does not usually relate any environmental findings, conclusions, or recommendations; e.g., about the likelihood of encountering underground storage tanks or regulated contaminants. Unanticipated subsurface environmental problems have led to project failures. If you have not yet obtained your own environmental information, ask your geotechnical consultant for risk-management guidance. As a general rule, do not rely on an environmental report prepared for a different client, site, or project, or that is more than six months old.

Obtain Professional Assistance to Deal with Moisture Infiltration and Mold

While your geotechnical engineer may have addressed groundwater, water infiltration, or similar issues in this report, none of the engineer's services were designed, conducted, or intended to prevent uncontrolled migration of moisture – including water vapor – from the soil through building slabs and walls and into the building interior, where it can cause mold growth and material-performance deficiencies. Accordingly, proper implementation of the geotechnical engineer's recommendations will not of itself be sufficient to prevent moisture infiltration. Confront the risk of moisture infiltration by including building-envelope or mold specialists on the design team. Geotechnical engineers are not building-envelope or mold specialists.



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